

# NOTES ON OPERADS

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ABSTRACT. This note is for a talk on operads. The main reference is [1]. The books [2, 3] are also useful.

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## 1. OPERAD

### 1.1. Tree.

**Definition 1.1.** A **graph**  $\Gamma = (V_\Gamma, E_\Gamma)$  is a pair of sets where  $E_\Gamma$  is contained in the power set  $2^{V_\Gamma}$  (the set of subsets in  $V_\Gamma$ ). A **directed graph** is a graph  $\Gamma = (V_\Gamma, E_\Gamma)$  with **source map** and **target map**  $s, t : E_\Gamma \rightarrow V_\Gamma$  such that  $e = \{s(e), t(e)\}$  for any  $e \in E_\Gamma$ . An **isomorphism**  $\Phi : \Gamma \rightarrow \tilde{\Gamma}$  of graphs from  $\Gamma = (V_\Gamma, E_\Gamma)$  to  $\tilde{\Gamma} = (V_{\tilde{\Gamma}}, E_{\tilde{\Gamma}})$  consists of bijections  $\Phi_V : V_\Gamma \rightarrow V_{\tilde{\Gamma}}$  and  $\Phi_E : E_\Gamma \rightarrow E_{\tilde{\Gamma}}$  such that  $\Phi_E(\{v, w\}) = \{\Phi_V(v), \Phi_V(w)\}$  for any  $\{v, w\} \in E_\Gamma$ . An **isomorphism of directed graphs** is an isomorphism of graphs which is compatible with the source and target maps. Let  $v \in V_\Gamma$ . We denote

$$A(v) := \{e \in E_\Gamma \mid v \in e\}.$$

The number  $|A(v)|$  is called the **valency** of  $v$ . An edge  $e \in E_\Gamma$  is called a **cycle** if  $|e| = 1$ .

**Definition 1.2.** A **tree**  $T = (v_o, V_T, E_T)$  is a connected graph without cycles which has a special vertex  $v_o \in V_T$ , called **root vertex**, such that  $|A(v_o)| = 1$ . The edge adjacent to  $v_o$  is called the **root edge**, denoted  $e_o$ . Non-root vertexes of valency 1 are called **leaves**. The set of leaves of  $T$  is denoted  $L(T)$ . A vertex is called **internal** if it is neither a root nor a leaf.

**Remark 1.3.** A tree, with the direction towards the root, is naturally a directed graph.

**Definition 1.4.** A tree  $T$  is called **planar** if for every internal vertex of  $T$ , the set  $t^{-1}(v)$  carries a total order. An  **$n$ -labeled planar tree** is a planar tree equipped with an injective map  $\imath : \{1, \dots, n\} \rightarrow L(T)$ . (The map  $\imath$  is not required to be monotone.) A vertex  $v$  of an  $n$ -labeled planar tree  $T$  is called **nodal** if  $v \in N_T := V_T \setminus \{v_o\} \setminus \text{im } \imath$ .

Let  $S, T$  be  $n$ -labeled planar trees. A **(non-planar) morphism**  $\Phi : S \rightarrow T$  is a pair of bijections  $\Phi_V : V_S \rightarrow V_T$  and  $\Phi_E : E_S \rightarrow E_T$  which are compatible with source and target maps, and  $\Phi_V \circ \imath_S = \imath_T$ . The category

of  $n$ -labeled planar trees is denoted  $\text{Tree}(n)$ . The full subcategory of  $n$ -labeled planar trees with  $k$  nodal vertices is denoted  $\text{Tree}_k(n)$ .

**Remark 1.5.** There is a natural left  $S_n$ -action on the objects of  $\text{Tree}(n)$ .

1.2. **Operad and cooperad.** Let  $\mathfrak{C}$  be the category of cochain complexes.

**Definition 1.6.** A  $S$ -module is a sequence  $\{P(n)\}_{n \geq 0}$  of objects in  $\mathfrak{C}$  such that for each  $n \in \mathbb{N}_0$ , the object  $P(n)$  is equipped with a left  $S_n$ -action.

Let  $T \in \text{Tree}(n)$ . Define

$$P(T) := \bigotimes_{v \in N_T} P(|t^{-1}(v)|)$$

where the tensor product is done in the order induced by  $T$ .

**Definition 1.7.** A (dg) operad is an  $S$ -module  $\{P(n)\}_{n \geq 0}$  equipped with “composition maps”

$$\mu_T : P(T) \rightarrow P(n)$$

for any  $T \in \text{Tree}(n)$ , and equipped with a **unit**  $u : \mathbb{k} \rightarrow P(1)$  which satisfies a list of axioms (“associativity,” “ $S$ -equivalent,” “unit”).

**Proposition 1.8.** Let  $V$  be a cochain complex. The direct sum

$$P(V) := \bigoplus_{n=0}^{\infty} \left( P(n) \otimes V^{\otimes n} \right)_{S_n}$$

with the natural  $P$ -algebra structure is the free  $P$ -algebra generated by  $V$ .

Consider the  $S$ -module

$$\Lambda(n) := \begin{cases} s^{1-n} \text{sign}_n, & n \geq 1; \\ 0, & n = 0, \end{cases}$$

where  $\text{sign}_n = \mathbb{k}$  with the  $S_n$ -action  $\sigma \cdot 1 := (-1)^\sigma \cdot 1$ . The compositions are defined by

$$1_m \circ_i 1_n := (-1)^{(1-n)(i-1)} 1_{n+m-1}.$$

**Remark 1.9.** The sign assignment of insertion is different from [1]. It is not clear to the author how the sign convention was chosen in [1].

Let  $V$  be a cochain complex, and let

$$\Phi : \Lambda \rightarrow \text{End}_V$$

be a morphism of dg operads. Let  $\tilde{\Phi} : \text{Com} \rightarrow \text{End}_{V[1]}$  be the map

$$\tilde{\Phi}(\tilde{1}_n)(v_1, \dots, v_n) := (-1)^{\sum_{j=1}^n (n-j)|v_j|} s^{-1} \circ \Phi(1_n)(sv_1, \dots, sv_n).$$

**Proposition 1.10.** The assignment

$$\Lambda\text{-Alg} \rightarrow \text{Com-Alg}_1 : \Phi \mapsto \tilde{\Phi}$$

is a bijection, where  $V \in \text{Com-Alg}_1$  iff  $V[1] \in \text{Com-Alg}$ .

*Proof.* We prove  $\tilde{\Phi}$  is a morphism of operads. The other parts of proof should be easy. Since  $\Phi$  is a morphism, we have

$$\Phi(1_n)(v_{\sigma(1)}, \dots, v_{\sigma(n)}) = \epsilon(\sigma, v) (-1)^\sigma \Phi(1_n)(v_1, \dots, v_n)$$

Then

$$\begin{aligned}
\tilde{\Phi}(\sigma \star \tilde{\Gamma}_n)(v_1, \dots, v_n) &= (-1)^\sigma (-1)^{\sum_{j=1}^n (n-j)|v_j|} s^{-1} \circ \Phi(\sigma \cdot 1_n)(sv_1, \dots, sv_n) \\
&= (-1)^\sigma \epsilon(\sigma, sv) (-1)^{\sum_{j=1}^n (n-j)|v_j|} s^{-1} \circ \Phi(1_n)(sv_{\sigma(1)}, \dots, sv_{\sigma(n)}) \\
&= \epsilon(\sigma, v) (-1)^{\sum_{j=1}^n (n-j)|v_j|} s^{-1} \circ \Phi(1_n)(sv_{\sigma(1)}, \dots, sv_{\sigma(n)}) \\
&= \tilde{\Phi}(\tilde{\Gamma}_n)(\sigma \star (v_1 \otimes \dots \otimes v_n)).
\end{aligned}$$

and

$$\begin{aligned}
&\tilde{\Phi}(\tilde{\Gamma}_m \bar{\circ}_i \tilde{\Gamma}_n)(v_1, \dots, v_{m+n-1}) \\
&= (-1)^{\sum_{j=1}^{m+n-1} (m+n-1-j)|v_j|} s^{-1} \circ \Phi(1_{m+n-1})(sv_1, \dots, sv_{m+n-1}) \\
&= (-1)^{(1-n)(i-1)} (-1)^{\sum_{j=1}^{m+n-1} (m+n-1-j)|v_j|} s^{-1} \circ \Phi(1_m \circ_i 1_n)(sv_1, \dots, sv_{m+n-1}) \\
&= (-1)^{(1-n)(i-1)} (-1)^{\sum_{j=1}^{m+n-1} (m+n-1-j)|v_j|} (-1)^{|\Phi(1_n)|} (i-1 + \sum_{j=1}^{i-1} |v_j|) \\
&\quad \cdot s^{-1} \circ \Phi(1_m)(sv_1, \dots, sv_{i-1}, s s^{-1} \Phi(1_n)(sv_i, \dots, sv_{i+n-1}), sv_{i+n}, \dots, sv_{m+n-1}) \\
&= (-1)^{(1-n)(i-1)} (-1)^{\sum_{j=1}^{m+n-1} (m+n-1-j)|v_j|} (-1)^{(1-n)(i-1 + \sum_{j=1}^{i-1} |v_j|)} \\
&\quad \cdot (-1)^{\sum_{j=i}^{i+n-1} (n+i-1-j)|v_j|} (-1)^{\sum_{j=1}^{i-1} (m-j)|v_j|} (-1)^{\sum_{j=i+n}^{m+n-1} (m+n-1-j)|v_j|} (-1)^{\sum_{j=i}^{i+n-1} (m-i)|v_j|} \\
&\quad \cdot \tilde{\Phi}(\tilde{\Gamma}_m)(v_1, \dots, v_{i-1}, \tilde{\Phi}(\tilde{\Gamma}_n)(v_i, \dots, v_{i+n-1}), v_{i+n}, \dots, v_{m+n-1}) \\
&= \tilde{\Phi}(\tilde{\Gamma}_m)(v_1, \dots, v_{i-1}, \tilde{\Phi}(\tilde{\Gamma}_n)(v_i, \dots, v_{i+n-1}), v_{i+n}, \dots, v_{m+n-1}) \\
&= (\tilde{\Phi}(\tilde{\Gamma}_m) \bar{\circ}_i \tilde{\Phi}(\tilde{\Gamma}_n))(v_1, \dots, v_{m+n-1}).
\end{aligned}$$

□

**Definition 1.11.** A (dg) cooperad is an  $S$ -module  $\{Q(n)\}_{n \geq 0}$  equipped with “decomposition maps”

$$\Delta_T : Q(n) \rightarrow Q(T)$$

for any  $T \in \text{Tree}(n)$ , and equipped with a counit  $\tilde{u} : Q(1) \rightarrow \mathbb{k}$  which satisfies a list of axioms (“coassociativity,” “ $S$ -equivalent,” “counit”).

A cooperad  $Q$  is **coaugmented** if we have a cooperad morphism  $\epsilon : * \rightarrow Q$ , where  $*$  is the natural cooperad with  $*(1) = \mathbb{k}$  and  $*(n) = 0$  if  $n \neq 1$ .

We denote the pseudo-cooperad  $\text{coker}(\epsilon)$  by  $Q_o$ .

**Example 1.12.** The  $S$ -module  $\Lambda$  also carries a cooperad structure:

$$\begin{aligned}
\Delta_i &: \Lambda_{m+n-1} \rightarrow \Lambda_m \otimes \Lambda_n, \\
\Delta_i(1_{m+n-1}) &:= (-1)^{(1-n)(i-1)} \cdot 1_m \otimes 1_n.
\end{aligned}$$

## 2. CONVOLUTION LIE ALGEBRA

The notation  $\pi_0$  denotes the collection of isomorphism classes in a category.

Let  $P$  be a dg (pseudo-)operad, and  $Q$  be a dg (pseudo-)cooperad. Consider

$$\text{Conv}(Q, P) := \prod_{n \geq 0} \text{Hom}_{S_n}(Q(n), P(n))$$

with the operation  $\bullet$  defined by the sum of the compositions

$$Q(n) \xrightarrow{\Delta_T} Q(n_1) \otimes Q(n_2) \xrightarrow{f \otimes g} P(n_1) \otimes P(n_2) \xrightarrow{\mu_T} P(n)$$

where  $T \in \text{Tree}_2(n)$ ,  $n_i = |t^{-1}(v_i)|$ ,  $N_T = \{v_1, v_2\}$ . More precisely,

$$f \bullet g(x) := \sum_{T \in \pi_0(\text{Tree}_2(n))} \mu_T \circ (f \otimes g) \circ \Delta_T(x)$$

for  $x \in Q(n)$ .

**Lemma 2.1.** *The bracket*

$$[f, g] := f \bullet g - (-1)^{|f||g|} g \bullet f$$

*satisfies the Jacobi identity.*

The differentials on  $P$  and  $Q$  induce a differential on the convolution  $\text{Conv}(Q, P)$ .

**Proposition 2.2.** *The convolution  $\text{Conv}(Q, P)$  is a dgla.*

**2.1. Example: cooperad of cocommutative coalgebras.** Let  $\text{coCom}$  be the cooperad of cocommutative coassociative coalgebras. More precisely,

$$\text{coCom}(n) := \begin{cases} 0, & n = 0; \\ \mathbb{k} \cdot \delta^n, & n \neq 0, \end{cases}$$

with trivial  $S_n$ -action and with the cocompositions

$$\Delta_T : \text{coCom}(n) \rightarrow \text{coCom}(n_1) \otimes \text{coCom}(n_2) : \delta^n \mapsto \delta^{n_1} \otimes \delta^{n_2}$$

for  $T \in \text{Tree}_2(n)$ . We endow  $\text{coCom}$  with the coaugmentation  $\epsilon : * \rightarrow \text{coCom} : 1 \mapsto \delta^0$ .

If  $V$  is a cochain complex, then  $\text{coCom}(V) \cong S^{\geq 1}V$  with the differential induced from  $V$  and the natural comultiplication.

**Proposition 2.3.** *Let  $V$  be a cochain complex. Then*

$$\text{Conv}(\text{coCom}_o, \text{End}_V) \cong \text{coDer}'(\text{coCom}(V)),$$

*where  $\text{coDer}'(\text{coCom}(V))$  is the set of coderivations on  $\text{coCom}(V) \cong S^{\geq 1}V$  which vanish on  $V$ .*

*Proof.* Note that

$$\begin{aligned} \text{coDer}'(\text{coCom}(V)) &\cong \text{Hom}(S^{\geq 2}V, V) \\ &\cong \prod_{n=2}^{\infty} \text{Hom}(S^n V, V) \\ &\cong \prod_{n=2}^{\infty} \text{Hom}(\mathbb{k}, \text{Hom}(S^n V, V)) \\ &\cong \prod_{n=0}^{\infty} \text{Hom}(\text{coCom}_o(n), \text{Hom}(S^n V, V)) \\ &\cong \prod_{n=0}^{\infty} \text{Hom}_{S_n}(\text{coCom}_o(n), \text{Hom}(V^{\otimes n}, V)). \end{aligned}$$

It's straightforward to check the isomorphisms preserve the dgla structures. □

**Remark 2.4.** *According to [1], the above proposition is true for general coaugmented cooperads.*

**2.2. Cobar construction.** Let  $Q$  be a coaugmented dg cooperad. Recall that the cobar operad  $\Omega(Q)$  associated to  $Q$  is quasi-freely generated by  $Q_o[-1]$  with the differentials induced by the differentials of  $Q$ .

Let  $P$  be a dg operad, and let  $F : \Omega(Q) \rightarrow P$  be a map of dg operads. The restriction

$$F|_{Q_o[-1]} : Q_o[-1] \rightarrow P$$

induces a degree one element

$$\alpha_F \in \text{Conv}(Q_o, P).$$

**Proposition 2.5.** *The map*

$$\text{Mor}(\Omega(Q), P) \rightarrow \text{MC}(\text{Conv}(Q_o, P)) : F \mapsto \alpha_F$$

*is a bijection.*

**Corollary 2.6.** *Let  $V$  be a cochain complex. The  $L_\infty$  structures on  $V$  is in bijection with the Maurer–Cartan solutions  $\text{MC}(\text{Conv}(\text{coCom}_o, \text{End}_V)) = \text{MC}(\text{coDer}(S^{\geq 1}V))$ .*

*Proof.*  $L_\infty = \Omega(\Lambda \text{coCom}_o)$ . □

#### REFERENCES

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